

Annual Planning and Quarterly Progress Reporting

Project title: CPAP Implementation Support Project

Award ID: 00059731

Project ID: 00074848

Implementing partner: UNDP (DIM)

Period covered in this report: 1 July – 31 December 2011

Date of last QPR: 4 August 2011

Date of the last Project Board meeting: -

Project Performance

1. Please list the annual targets for the reporting year as set out in the project document and/or AWP:

1. At least 10 Monitoring Field Visits conducted to improve project management and progress reporting in ATLAS;
2. At least 3 new partnerships established;
3. At least 2 visibility events for national and international partners carried out;
4. At least 2 government agencies benefit from capacity development activities run by UNDP;
5. At least 2 policy papers drafted;

Please specify below what has been the progress towards the above indicated targets during the reporting period:

1. A series of monitoring field visits undertaken for the following 14 development projects related to Enhancing the Capacity of MFA, Area-Based Development and Enhancement of Living Standards programmes (two visits), National Protected Areas, Disaster Risk Management, Radioactive Waste Management in Fergana region, Climate Risk Management, Rio Convention, LRF, LED, Tugai, SLM, IWRM, and SAIGA. In addition to regular inventory checks and field visits, it was decided to systematize joint monitoring visits with involvement of national partners at high/technical level in 2012 in order to raise better awareness of project activities and enhance national ownership.
2. UNDP has become a Principal Recipient of the GFATM-round 8 that secured funding of USD 22m. Other significant partnerships include approved funding from UN Human Security Trust Fund for the Joint Programme with UNESCO, WHO, UNODC and Ministry of Economy, which will be launched early 2012. UNDP is currently at the stage of formulating its next-stage partnership framework with the Office of the President on support to the Center for Economic Research to further promote evidence-based policy making in Uzbekistan with annual Government cost-sharing contribution in amount of ~\$450,000 Contribution of \$40,000 was received from UNICEF to support Local Governance Support Project initiatives. Regional programmes have been also established, such as BOMCA-8, Aid for Trade, and Climate Risk Management. Strategic partnership was established with the following international partner present in the country: TIKA - capacity development of national partners and private sector representatives on budget system reform as well as public-private partnership models (\$22,000 parallel funding of study-tours); GIZ - provision of expertise for courses on econometric, statistical modelling and organization of the course on microfinance benefiting 25

representatives of local microfinance institutions and banks (\$11,800 parallel funding). Detailed course description available [here](#).

3. UNDP Uzbekistan and UNDP-Procurement Support Office (PSO) hosted the Regional: Eastern Europe, Latin America and Central Asia Workshop on Procurement and Supply Chain Management (PSM) and Programme Management issues for projects financed by the Global Fund during 12-16 September 2011 with 76 participants from various country offices globally to stimulate experience sharing; During UN Day celebration there was an exhibition containing UN Agency information stalls, presentations, workshops, documentaries, etc. targeting general public and local media. MUNDP was presenting its visibility materials on all ongoing interventions in the country, including regional programmes.
4. Based on the Government request, UNDP is also providing considerable support to national preparations for the UN Conference for Sustainable Development (UNCSD – Rio+20). With the technical assistance from UNDP, a joint workshop was organized with the Government UNDP BRC, UNDESA and the UNCSD Secretariat for 80 representatives from national institutions including central ministries, committees and Oliy Majlis (Parliament).

Following Government request, UNDP supported participation of two Government Officials (Cabinet of Ministers and Ministry of Foreign Affairs) in the 23rd Meeting of the Parties to the Montreal Protocol on Substances that Deplete the Ozone Layer (MOP 23) and ninth Meeting of the Conference of the Parties to the Vienna Convention for the Protection of the Ozone Layer (COP 9) during 21-25 November 2011 in Bali, Indonesia. Furthermore, CPAP project also supported participation of a Government Representative in High-level Meeting on South-South and Triangular Cooperation 2011 organized in Rome, Italy.

5. In line with UNDP support to national preparations for Rio+20 Summit, a National Report is also being developed (November 2011-June 2012) as input from Uzbekistan for the draft outcome document - Regional Rio+20 Report.

In 2011 UNDP also supported preparation of a number of Policy Briefs as follows:

- a) Policy Brief on women's entrepreneurship with the aim of reviewing opportunities for women and identifying any possible gender barriers in this sector. Draft of the policy brief is prepared and subject for finalization and distribution in the first half of 2012;
- b) Policy Brief on Road construction: current situation and perspectives of private sector engagement;
- c) Policy Brief on problems and perspectives of employment of persons with disabilities in Uzbekistan;

2. Implementation / Quality Log (to be completed by Project Managers)

PLANNING FOR THE YEAR (state for each Activity in the project)		REPORTING IS TO BE ENTERED ON QUARTERLY BASIS Update on Quality Log / Activity Quality Assessment (report on this Quarter only)					
Activity Result 1: Quality assurance, high quality analysis, M&E mechanisms are in place to better address programme and project interventions:							
Start date of the Activity: <u>1 May 2010</u>		End Date of the Activity: <u>31 December, 2012</u>					
Quality criteria (i.e how/with what indicators the quality of the activity result will be measured?)	Quality method (what method will be used for this criteria?)	Due Date	Responsible person in the project	Actual progress made/ User perspective	Timeliness (were the achievements reached within the planned timeframe)	Resources Usage (were the achievements reached within the planned budget)	Quality rating (from 1 to 9) and % of completion
Monitoring Mechanisms are in place to ensure IPSAS preparedness	Monitoring field visits and inventory checks	31 December	Programme and Procurement Units	Quarter 3-4 monitoring field visits of ELS/ABD, Zapovedniki, LRF, SAIGA, IWRM, Tugai, SLM, LED, Waste Management, Rio, DRM projects conducted	On time	On track	Quarter 3-4 9/100%
High quality analysis undertaken to support CO Strategic Positioning and programme/project management	CO Strategy prepared in line with corporate agenda for change; experts support provided;	31 December	Programme units	Quarter 3-4 A mission of two BRC experts took place to provide technical support to the formulation of a new strategy for the Private Sector Development portfolio of UNDP Uzbekistan, including launch of UN Joint Programme on rural	On time	On track	Quarter 3-4 9/100%

							development (Balazs Horvath, Poverty Reduction Practice Leader & Stephan Schmidt, Private Sector Advisor)						
							Programme units internal strategic discussions undertaken (July- December) with participation of BRC Practice Leaders (GGU - Annie Demirjan; EGU - Balazs Horvath)						
Activity result 2: Public relations and outreach around role of UNDP and its development efforts is in place and UNDP partnership strategy is enhanced;													
Start date of the Activity: 1 May 2010 End Date of the Activity: 31 December, 2012													
Quality criteria (i.e how/with what indicators the quality of the activity result will be measured?)	Quality method (what method will be used for this criteria?)	Due Date	Responsible person in the project	Actual progress made/ User perspective	Timeliness (were the achievements reached within the planned timeframe)	Resources Usage (were the achievements reached within the planned budget)	Quality rating (from 1 to 9) and % of completion						
Number of visibility events conducted and outreach materials prepared	3 outreach events conducted for various groups	31 December	Programme Units / Communications Associate	Quarter 3-4 GFATM Procurement Workshop; UN Day celebration organized jointly with UN agencies for general public (official opening by RR and Deputy Prime Minister/Minister of Foreign Affairs, press conference, UN	on time	On track	Quarter 3-4 9/100%						

				<p>exhibit stands) UNDP Brochure "Empowered Lives. Resilient Nations" and 12 success stories, Muynak documentary (Nukus) within "one day on earth" initiative are developed within Communications component.</p>		
<p>Activity result 3: Knowledge management and Capacity development mechanisms are enhanced.</p>						
<p>Start date of the Activity: 1 May 2010 Quality criteria (i.e how/with what indicators the quality of the activity result will be measured?)</p> <p>Balanced Scorecard on Learning is green</p>	<p>End Date of the Activity: 31 December, 2012 Quality method (what method will be used for this criteria?)</p> <p>Completion of individual learning plans</p>	<p>Due Date</p> <p>31 December 2011</p>	<p>Responsible person in the project</p> <p>Learning Manager</p>	<p>Actual progress made/ User perspective</p> <p>Quarter 3-4 In 2011 there was an average 20% increase in completion of 7 mandatory courses compared to 2010. CO and project staff participated in overall 60 knowledge-sharing events outside of Uzbekistan (CoPs, study tours, workshops). Highlights of the events organized</p>	<p>Timeliness (were the achievements reached within the planned timeframe)</p> <p>On time</p>	<p>Resources Usage (were the achievements reached within the planned budget)</p> <p>On track</p> <p>Quality rating (from 1 to 9) and % of completion</p> <p>Quarter 3-4 9/100%</p>

<p>Number of CO staff that are more aware of the UNDP development issues and M&E mechanisms</p>	<p>Training courses on cross-cutting issues such as Capacity Development, gender, M&E (follow up to RBM workshop); Communities of Practice</p>	<p>31 December 2011</p>	<p>Learning Manager</p>	<p>by CO in line with individual learning plans are: Presentation Skills Training by Westminster University trainers for 15 CO and project staff (1st group – September; 2nd group planned in Y2012); English Language Courses benefiting 34 UNDP personnel from CO and Projects and helping them improve their English language skills (October-December)</p>	<p>On time</p>	<p>On track</p>	<p>Quarter 3-4 9/100%</p>
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						and BRC experts for CO and project staff (November)					
						Participation in 9 CoPs/workshops on Energy & Environment, Human Development, Gender, UNDP Anti-Corruption conference, Learning Managers Workshop, NPO's Workshop on RBM & Programme, UNCT Leadership & Coordination skills programme, Global Communications Training, Knowledge and Innovation Working Session					
Activity result 4: UNDP Support to National Preparations in Uzbekistan for the UN Conference for Sustainable Development (UNCSD – Rio+20)											
Start date of the Activity: 20 September 2011 End Date of the Activity: 31 December, 2011											
Quality criteria (i.e how/with what indicators the quality of the activity result will be measured?)	Quality method (what method will be used for this criteria?)	Due Date	Responsible person in the project	Actual progress made/ User perspective	Timeliness (were the achievements reached within the planned timeframe)	Resources Usage (were the achievements reached within the planned budget)	Quality rating (from 1 to 9) and % of completion				
National partners readiness to participate in Rio+20 Summit	Multi-stakeholder workshop, National Report prepared as input for Regional Outcome Document	31 May 2012	Energy and Environment Unit	Quarter 4 A two-day multi-stakeholder workshop with 80 representatives from national institutions	On time	On track	9/40%				

3. Issues

a) Please specify the issues that were raised during the reporting period to the attention of the Project Board. Describe the steps taken to solve those (Management response in ATLAS).

n/a

4. Project risks

a) Please provide update on any changes with regard to the above indicated risks (e.g. risk occurred; no change and etc.). Specify the responses taken for each of those.

n/a

5. Financial management


Current AWP budget: USD 221,128
Current expenditure (broken down by donor) TRAC: USD 187,483 (94%)
Rio+20: USD 13,584 (68%)
Interest income: USD 682 (61%)
Current delivery rate: 91%
Expected delivery by end of the year: 100%

6. Required steps for the next Quarter (if any?)

Please specify below any adjustments (revisions) required under the approved AWP/project document in terms of project activities or budget adjustments, if applicable (e.g. due to low delivery)

1. AWP 2012 developed with reflection of interest income Y2010 of \$10,693.02 (undetermined donor)
2. Extend duration of Activity 4 for completion of outstanding actions

Prepared by:  Zumrad Sagdullaeva, Programme Support Assistant 15 DEC 2011

Cleared by:  Marina Ten, Head of RMU/Learning Manager 16 DEC 2011

Cleared by:  Jaco Cilliers, UNDP DRR

Checklist to be completed by the Programme focal point:

Before completing this checklist, programme focal point has to visit the Executive Snapshot/Programme & Project Management > Overview of Awards > Select Award ID > Click on "View the Progress Report for this Award."

Yes No Quality Log/Progress report is updated in ATLAS
 Yes No Risk logs is updated in ATLAS by Project Manager and management response is updated by Programme Officer / programme focal point n/a

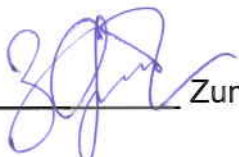
Yes No Issues logs is updated in ATLAS n/a

Yes No Lessons learned are reflected appropriately (offline in the programme files) n/a

Yes No N/A Budget revision is approved (if applicable) (to be submitted)

If for some boxes, "No" was checked, please provide justification:

Signed by:

 Zumrad Sagdullaeva, Programme Support Assistant

